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**PETITION TO ACCEPT UNINTENTIONALLY DELAYED PAYMENT OF MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378 (c))**

Docket Number (Optional)

GBTI35US

Mail to: Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Fax: (571) 273-8300

NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282.

Patent No. 5933447

Application Number 09/005,070

Issue Date 08/03/1999

Filing Date 01/09/1998

CAUTION: Maintenance fee (and surcharge, if any) payment must correctly identify: (1) the patent number (or reissue patent number, if a reissue) and (2) the application number of the actual U.S. application (or reissue application) leading to issuance of that patent to ensure the fee(s) is/are associated with the correct patent. 37 CFR 1.366(c) and (d).

**Also complete the following information, if applicable**

The above – identified patent

09/22/2011 DALLEN 00000019 5933447

☐

Is a reissue of original Patent No. 01 FC:1599 original issue date 5750.00 DP

original application number \_\_\_\_\_

original filing date \_\_\_\_\_

☐

resulted from the entry into the U.S. under 35 U.S.C. 371 of international application \_\_\_\_\_

filed on \_\_\_\_\_

**CERTIFICATE OF MAILING (37 CFR 1.89(a))**

I hereby certify that this paper (\*along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class main in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

9/15/11

Date

Signature

MIMI YUEN

Typed or Printed Name of Person Signing Certificate

## 1. SMALL ENTITY

☐

Patentee claims, or has previously claimed, small entity status. See 37 CFR 1.27.

## 2. LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

☐

Patentee is no longer entitled to small entity status. See 37 CFR 1.27(g)

## 3. MAINTENANCE FEE (37 CFR 1.20(e)-(g))

The appropriate maintenance fee must be submitted with this petition, unless it was paid earlier.

NOT Small Entity			Small Entity		
Amount	Fee	(Code)	Amount	Fee	(Code)
<input type="checkbox"/> \$ _____	3 ½ yr fee	(1551)	<input type="checkbox"/> \$ _____	3 ½ yr fee	(2551)
<input type="checkbox"/> \$ _____	7 ½ yr fee	(1552)	<input type="checkbox"/> \$ _____	7 ½ yr fee	(2552)
<input checked="" type="checkbox"/> \$ 4,110	11 ½ yr fee	(1553)	<input type="checkbox"/> \$ _____	11 ½ yr fee	(2553)

MAINTENANCE FEE BEING SUBMITTED \$ 4,110

## 4. SURCHARGE

The surcharge required by 37 CFR 1.20(l)(2) of \$ 1,640 (Fee Code 1558) must be paid as a condition of accepting unintentionally delayed payment of a maintenance fee.

SURCHARGE FEE BEING SUBMITTED \$ 1,640

## 5. MANNER OF PAYMENT

☐

Enclosed is a check for the sum of \$ \_\_\_\_\_

☐

Please charge Deposit Account No. \_\_\_\_\_ the sum of \$ \_\_\_\_\_

☒

Payment by credit card. Form PTO-2038 is attached.

## 6. AUTHORIZATION TO CHARGE ANY FEE DEFICIENCY

☐

The Director is hereby authorized to charge any maintenance fee, surcharge or petition deficiency to Deposit Account No. \_\_\_\_\_

**7. OVERPAYMENT**

As to any overpayment made please

OR

☐

Credit to Deposit Account No. \_\_\_\_\_

☒

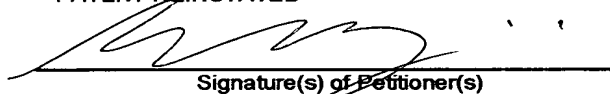
Send refund check

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

**8. STATEMENT**

The delay in payment of the maintenance fee to this patent was unintentional.

**9. PETITIONER(S) REQUEST THAT THE DELAYED PAYMENT OF THE MAINTENANCE FEE BE ACCEPTED AND THE PATENT REINSTATED**


Signature(s) of Petitioner(s)

Sept 4, 2011

Date

Elmer Yuen

Typed or printed name(s)

Registration Number, if applicable

732-870-8088

Telephone Number

24 James Way, Eatontown, NJ 07724

Address

Address

37 CFR 1.378(d) states: "Any petition under this section must be signed by an attorney or agent registered to practice before the Patent and Trademark Office, or by the patentee, the assignee, or other party in interest."

**ENCLOSURES**☒

Maintenance Fee Payment

☒

Surcharge under 37 CFR 1.20(i)(2) (fee for filing the maintenance fee petition)

☒

PTO/SB/96



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/96 (07-09)  
Approved for use through 07/31/2012. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: GOLDEN BRIDGE TECHNOLOGY INC.

Application No./Patent No.: 5933447

Filed/Issue Date: 08/03/1999

Titled: SYMBOL-MATCHED FILTER HAVING A LOW SILICON AND POWER REQUIREMENT

GOLDEN BRIDGE TECHNOLOGY INC., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)  
the patent application/patent identified above, by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 8199, Frame 0193, or for which a copy therefore is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Elmer Yuen

Printed or Typed Name

09/04/2011

Date

CEO

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

DECEMBER 30, 1996

PTAS

DAVID NEWMAN & ASSOCIATES, P.C.  
JIMMY CUONG TRAN  
CENTENNIAL SQUARE  
P.O. BOX 2728  
LA PLATA, MD 20646



\*100298879A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/23/1996

REEL/FRAME: 8199/0193  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
TRAN, JIMMY CUONG

DOC DATE: 10/15/1996

ASSIGNOR:  
DAVIDOVICI, SORIN

DOC DATE: 10/15/1996

ASSIGNEE:  
GOLDEN BRIDGE TECHNOLOGY, INC.  
187 HIGHWAY 36  
SUITE 201  
WEST LONG BRANCH, NEW JERSEY 07764

SERIAL NUMBER: 08701440  
PATENT NUMBER:

FILING DATE: 08/22/1996  
ISSUE DATE:

DIANE RUSSELE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

RI

COVER SHEET 40-581 U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark

11-01-1996



100298879

Tab. settings

To the Honorable Commissioner of Patents

Attached original documents or copy thereof

Address of receiving party(ies):

1. Name of conveying party(ies):

TRAN, Jimmy Cuong  
18 Overlook Drive  
Jackson, New Jersey 08527

Name: Golden Bridge Technology, Inc.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Street Address: 187 Highway 36

Suite 201

City: West Long Branch State: NJ ZIP: 077

Execution Date: October 15, 1996

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 08/701,440

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

08/701,440

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DAVID NEWMAN & ASSOCIATES, P.C.

Internal Address: \_\_\_\_\_

Street Address: Centennial Square

P.O. Box 2728

City: La Plata State: MD ZIP: 20646

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41):..... \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

40-E

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true and correct copy of the original document.

Jimmy Cuong Tran  
Name of Person Signing

[Signature]  
Signature

10/15/1996  
Date

Total number of pages comprising cover sheet: 2

10-23-96

# RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy there

1. Name of conveying party(ies):

DAVIDOVICI, Sorin  
35-38 75th Street  
Apartment 6A  
Jackson Heights, New York 11372

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: October 15, 1996

2. Name and address of receiving party(ies):

Name: Golden Bridge Technology, Inc.

Internal Address: \_\_\_\_\_

Street Address: 187 Highway 36

Suite 201

City: West Long Branch State: NJ ZIP: 07

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 08/701,440

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

08/701,440

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DAVID NEWMAN & ASSOCIATES, P.C.

Internal Address: \_\_\_\_\_

Street Address: Centennial Square

P.O. Box 2728

City: La Plata State: MD ZIP: 20646

6. Total number of applications and patents involved: ☐ 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sorin Davidovici  
Name of Person Signing

[Signature]  
Signature

10/15/96  
Date

Total number of pages comprising cover sheet: ☐ 2

ASSIGNMENT

WHEREAS, WE:

JIMMY CUONG TRAN, a citizen of the United States, residing at

18 Overlook Drive  
Jackson, New Jersey 08527

and

SORIN DAVIDOVICI a citizen of the United States, residing at

35-38 75<sup>th</sup> Street, Apartment 6A  
Jackson Heights, New York 11372

as assignors, have made an invention entitled:

SYMBOL-MATCHED FILTER HAVING A LOW  
SILICON AND POWER REQUIREMENT

for which the patent application has been filed and has serial  
no. 08/701,440 and filing date of August 22, 1996.

WHEREAS,

Golden Bridge Technology, Inc.

a corporation of New Jersey, whose post office address is

187 Highway 36, Suite 201  
West Long Branch, New Jersey 07764

as assignee, is desirous of securing the entire right, title,  
and interest in and to this invention in all countries  
throughout the world, and in and to the application for United  
States Letters Patent on this invention and the Letters Patent  
to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable  
consideration the receipt of which from assignee is hereby  
acknowledged, we, as assignors, have sold, assigned,



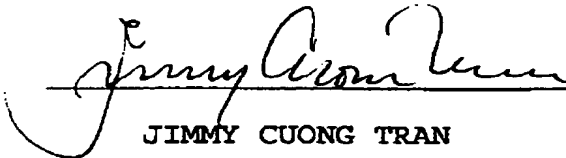
transferred, and set over, and do hereby sell, assigns, transfer, and set over unto assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof, and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY covenant that we have full right to convey the interest assigned by this Assignment, and we have not executed, and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that we shall, without further consideration, communicate with assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the

title of this invention in said assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

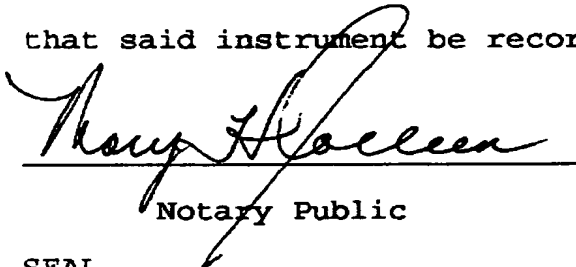
IN TESTIMONY WHEREOF, I have hereunto set my hands.

  
JIMMY CUONG TRAN

Date: 10/15/1996

County of Thomson, Xf  
Province of USA  
Country of USA

Before me, a notary public, in and for the State and Count  
aforesaid, on this 15<sup>th</sup> day of October, 1996,  
personally appeared JIMMY CUONG TRAN who being to me personally  
known, and who having first executed the foregoing instrument :  
my presence and having been by me first duly sworn, did  
acknowledge the foregoing instrument as his free deed and act,  
signed, sealed and delivered by him for the purpose therein  
stated and intending to be legally bound thereby and intending  
that said instrument be recorded.

  
Notary Public

Date: Oct 15, 1996

SEAL

MARY H. COLLUM  
Notary Public of New Jersey  
My Commission Expires Jan 2, 2001

IN TESTIMONY WHEREOF, I have hereunto set my hands.

*Sorin Davidovici*

Date: 15/09/96

SORIN DAVIDOVICI

County  
Province of New York, N.Y.  
Country of USA

Before me, a notary public, in and for the State and Count  
aforesaid, on this 15<sup>th</sup> day of October, 1996,  
personally appeared SORIN DAVIDOVICI who being to me personally  
known, and who having first executed the foregoing instrument i  
my presence and having been by me first duly sworn, did  
acknowledge the foregoing instrument as his free deed and act,  
signed, sealed and delivered by him for the purpose therein  
stated and intending to be legally bound thereby and intending  
that said instrument be recorded.

*Mary H. Collum*  
Notary Public

Date: Oct 15, 1996

SEAL

MARY H. COLLUM  
Notary Public of New Jersey  
My Commission Expires Jan 2, 2001

T:\GBTN\GBTN17US.A9-TMD10\01